## Additional file 1 for

Immunoglobulin somatic hypermutation has clinical impact in DLBCL and potential implications for immune checkpoint blockade and neoantigen-based immunotherapies

## Supplementary figure Legends

Figure S1. Construction and clinical outcome of the diffuse large B-cell lymphoma (DLBCL) cohort. (a) CONSORT flow diagram illustrating the construction of the training set and validation set comprising the overall study cohort. (b) Overall survival (OS) and progression-free survival (PFS) rates of the overall patients included in this study, and OS/ PFS comparison between germinal center B-cell-like (GCB) and activated B-cell-like (ABC) subtypes of DLBCL.

Figure S2. Diagram showing the numbers of cases in this mutation study that have been characterized by various biomarker studies, and survival rates of patients whose sequencing results were correlated with prognosis.

Figure S3. CONSORT flow diagram illustrating the number of cases performed for high-throughput IG sequencing and clonal sequence analysis. In the Venn diagram, green number is for cases with only heavy chain analyzed, red number is for cases with only light chain analyzed, and black number is for cases with both heavy and light chain analyzed. In the flowchart, green boxes are for heavy chain analysis, and red boxes are for light chain analysis.

Figure S4. Molecular characterization for immunoglobulin heavy chain
(IGH) gene usage in the study cohort. (a) Stacked histograms for IGHD gene usage in cases with D-J-only (left) and cases with V-D-J (right) diagnostic sequences. (b-c) Stacked histograms showing the specific IGHV gene usage pattern of diagnostic clones in overall DLBCL and
germinal-center B-cell-like (GCB) and activated B-cell-like (ABC) DLBCL subtypes. IGHV4-34 is over-represented in the ABC-DLBCL cases compared with the GCB-DLBCL cases. Histogram showing the distribution of somatic mutations by V-gene family as measured by total reads in GCB-DLBCL and ABC-DLBCL.

## Figure S5. Immunoglobulin heavy chain V gene (IGHV) somatic

hypermutation (SHM) analysis. (a) Histogram showing the distribution of IGHV somatic mutations within productive diagnostic clonal sequences. The number above the bar chart is the absolute count of the total clones. GCB-DLBCL compared with ABC-DLBCL had a significantly higher mean level of IGHV SHM. (b) High degree of IGHV SHM (SHM ${ }^{\text {high }}$ ) was associated with a nonsignificant trend of better progression-free survival (PFS) in overall DLBCL. (c) IGHV SHM ${ }^{\text {high }}$ was associated with significantly better overall survival (OS) in ABC-DLBCL. (d) In GCB-DLBCL, IGHV SHM ${ }^{\text {high }}$ was associated with better OS with borderline significance only in cases lacking BCL2 rearrangement (BCL2-R) or MYC rearrangement (MYC-R) but not in cases with BCL2-R or MYC-R.

Figure S6. Analysis for length of heavy chain complementarity
determining region 3 (HCDR3). (a) Long length of HCDR3 with a cutoff of 18 amino acids (aa) was associated with poorer progression-free survival (PFS) in DLBCL and ABC-DLBCL, and with poorer PFS and overall survival (OS) in GCB-DLBCL. (b) Short HCDR3 length was associated with significantly higher IGHV SHM degree in GCB-DLBCL, and higher IGK/L SHM degree in ABC-DLBCL. The cutoff of short length was $<16$ aa in GCB-DLBCL and $<18$ aa in ABC-DLBCL. (c) Long HCDR3 length was associated with poorer OS in GCB-DLBCL cases of the validation set and ABC-DLBCL cases of the training set. (d) Long HCDR3 length was associated with significantly poorer PFS and OS in DLBCL in both the training and validation sets.

Figure S7. Prediction of MHC-binding peptides and frequency of T-cell exposed motifs (TCEM) for immunoglobulin diagnostic sequences in the training set and validation set. (a) Regional distribution of relatively rare neoantigens (TCEM FC>16) derived from heavy chain and light chain immunoglobulin genes in DLBCL patients in the training set (top) and validation set (bottom). Each dot represents one peptide predicted having high MHC-II-binding affinity (exceeding the -1 standard deviation threshold for MHC derived from 24 HLADR alleles) and relatively rare TCEM (FC>16). The color intensities of the dots are scaled on the FC scale from FC16 to the very rare FC24. (b) Cases with high degree of heavy chain or light chain IGV SHM compared with cases without had higher frequency of relatively rare TCEM (FC>16) in the training (left) and validation sets (right). (c) In ABC-DLBCL, high IGV SHM was associated with lower tissue cellularity of CD4 ${ }^{+} \mathrm{T}$ cells.

## Figure S8. Moleclar analysis for immunoglobulin heavy chain ongoing

SHM and light chain SHM. (a) Histogram showing distribution of IGHV ongoing SHM over IGHV gene families. (b) High IGHV ongoing SHM was associated with AICDA upregulation in the validation set. High PD-L2 expression in macrophages (in overall cohort) and PD-L1/PD-L2 gene amplification (in the ABC subtype) were associated with a higher mean percentage of subclones with IGHV ongoing SHM in the sequence repertoire. (c) Histogram showing distribution of IGK/LV SHM within light chain diagnostic clonal sequences. (d) Compared with IGKV SHM, IGLV SHM showed more correlation with IGHV SHM.

Figure S9. Immunoglobulin light chain SHM and CDR3 analysis. (a)
IGK/LV SHM ${ }^{\text {high }}$ was associated with significantly worse progression-free survival (PFS) in GCBDLBCL in both the training and validation sets. (b-c) High degree of IGK/LV SHM (SHM ${ }^{\text {high }}$ ) was associated with significantly worse overall survival (OS) in GCB-DLBCL independent of BCL2 and MYC rearrangement $(\mathrm{R})$ status. The $P$ value was most significant in cases with BCL2 rearrangement. (d) A long immunoglobulin light chain kappa/lambda CDR3
(K/LCDR3) length was associated with poorer OS in overall DLBCL and ABC-DLBCL. (e) In GCB-DLBCL, IGK/LV SHM ${ }^{\text {high }}$ was significantly associated with higher CTSL1 mRNA levels whereas IGHV SHM ${ }^{\text {high }}$ was significantly associated with lower CTSF mRNA levels. (f) In ABCDLBCL, IGK/LV SHM ${ }^{\text {high }}$ was negatively associated with PD-1 expression in B cells, and positively associated with AICDA mRNA expression in the training set.

Figure S10. Comparison between different subsets of DLBCL. (a) The training set compared with the validation set had significantly higher mean levels of some MHC class II and cathepsin genes' mRNA expression. (b) In the validation set overall (and the GCB subtype, figures not shown), MYC rearrangement (MYC-R) was associated with downregulation of some MHC class II genes. In both the training and validation sets, MYC-R was significantly associated with HLA-F and CTSH downregulation (only figures for the entire cohort were shown). (c) In both the training and validation sets, ABC-DLBCL compared with GCB-DLBCL had significantly higher mean levels of macrophage- and CD8 ${ }^{+}$T cell-infiltration and PD-L1 expression on B cells whereas lower mean HLA-DQB2 mRNA level (only figures for overall cohort were shown). In the overall cohort (and in the training set but not the validation set), ABC- compared with GCB-DLBCL had significantly higher mean level of CTSL1 mRNA.

Figure S11. Light chain IGK/LV ongoing SHM analysis. (a) There were negative associations between IGV SHM and light chain ongoing SHM. Like light chain SHM ${ }^{\text {high }}$, (shown in Figure 5d) IGHV SHM ${ }^{\text {high }}$ was associated with lower numbers of subclonal sequences with IGK/LV ongoing SHM. Conversely, high numbers of IGK/LV subclonal sequences with ongoing SHM were associated with lower mean levels of SHM in IGV. (b) Heatmap for gene signatures associated with high ongoing IGK/LV SHM in DLBCL and GCB-DLBCL. (c) In GCBDLBCL, high IGK/LV ongoing SHM ( $\geq 17$ subclones) was associated with significantly poorer overall survival (OS). The adverse prognostic effect of light chain ongoing SHM was not
significant in the validation set. (d) In the training set, high light chain IGV ongoing SHM was associated with significantly poorer survival.

Figure S1
A


Validation set (2015),
$\mathrm{n}=186$

Overall study cohort in this report, $n=378$

## GCB, $\mathrm{n}=202$ 294 cases by GEP;

ABC, $n=171$
79 cases by IHC algorithm



## Discard

## $\mathrm{n}=88$ : insufficient DNA sequencing reads or no expanded (>5\%) clones





GCB, n = 146

ABC, $n=141$

246 cases by gene expression profiling (112 ABC, 117 GCB, 17 UC); 58 cases by IHC algorithm ( 29 GC, 29 non-GC)


Mutiplex IHC for cell type-specific PD-1/L1/2
expression, n = 230;
FISH for PDL1, $\mathrm{n}=235$
FISH for PDL2, $\mathrm{n}=234$;
FISH for MYC, $\mathrm{n}=236$;
FISH for BCL2, $\mathrm{n}=256$
FISH for BCL6, $\mathrm{n}=229$;
FISH for REL, $\mathrm{n}=221$;
MYC mutation status, $\mathrm{n}=268$;
BCL6 5'UTR mutation status, $\mathrm{n}=151$;
TP53 mutation status, $\mathrm{n}=263$;
IHC for $\operatorname{lgM}, \lg A$, and $\lg G$ expression, $\mathrm{n}=280$;
IHC for Myc expression, $\mathrm{n}=284$;
IHC for Bcl-2 expression, $\mathrm{n}=281$;
IHC for CD37 expression, $\mathrm{n}=273$;
IHC for CXCR4 expression, $\mathrm{n}=258$;
IHC for CD30 expression, n = 284;
IHC for CD5 expression, $\mathrm{n}=283$;
IHC for p53 expression, n = 255;
IHC for p63 expression, $n=274$;
IHC for MDM2 expression, $\mathrm{n}=274$
IHC for Ki-67 expression, $\mathrm{n}=276$;
IHC for FOXP1 expression, n = 283;
IHC for PI3K expression, $n=263$;
IHC for NF-kB p65 expression, $\mathrm{n}=259$;
IHC for NF-kB p50 expression, $\mathrm{n}=255$;
IHC for NF-kB p52 expression, $\mathrm{n}=259$

Figure S3


Figure S4



Figure S6
A
DLBCL




B

D
DLBCL, training set



DLBCL, training set


C GCB-DLBCL, validation set
DLBCL, validation set


Months

ABC-DLBCL, training set


DLBCL, validation set


A


B


Figure S7


Figure S8

Figure S9

A GCB-DLBCL, training se



B



C
MYC-R ${ }^{+}$GCB-DLBCL


Months
MYC-R ${ }^{-}$GCB-DLBCL

Months
D


E


GCB-DLBCL


F


Training set $A B C-D L B C L$


Figure S10
A


C


Figure S11

A


GCB-DLBCL (overall)


D
DLBCL, training set


Months

B
DLBCL, IGK/LV ongoing SHM ${ }^{\text {low }}$ vs. ongoing SHM ${ }^{\text {high }}$


GCB-DLBCL, IGK/LV ongoing SHM ${ }^{\text {low }}$ vs. ongoing SHM ${ }^{\text {high }}$

$\begin{array}{lllll}-1.5 & -0.7 & 0 & 0.8 & 1.5\end{array}$

